Computer Performance Services Ltd

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Website: www.cpsltd.ch
E-mail: info@cpsltd.ch

CONCEPT
Independent Asset Managers

CONCEPT *Independent Asset Managers* has been specially designed to meet the needs of independent asset managers.

Its functionalities allow it to effectively meet the specific needs associated with this activity, particularly in terms of:

- **Portfolio Consolidation**
- **Custom reporting**
- **Documents management (possible connection with a DMS)**
- Compliance
- Invoicing

Depending on your activity it will be available in three different configurations:

- **Without back office**. Only portfolios positions are then taken up (imported automatically or manually captured)

- **With back office**. In this configuration, all transactions are taken up (imported automatically or manually entered)

- **Platform** ou **mini-platform** to meet the needs of managers wishing to regroup

CONCEPT *Independent Asset Managers* **can be configured to meet your immediate needs while guaranteeing you already accompany you in your future development.**

+20 ans

CONCEPT

WITHOUT B.O.

WITH B.O.

PLAT FORM

MODULES

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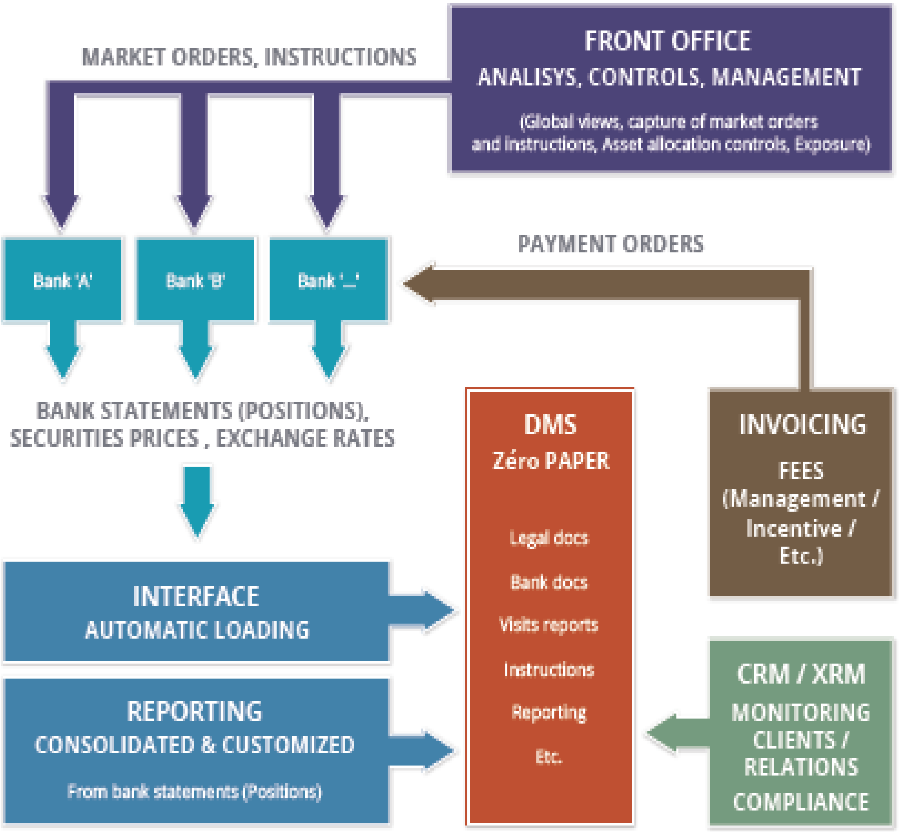
CONCEPT

Independent Asset Managers

Configuration **without** back Office



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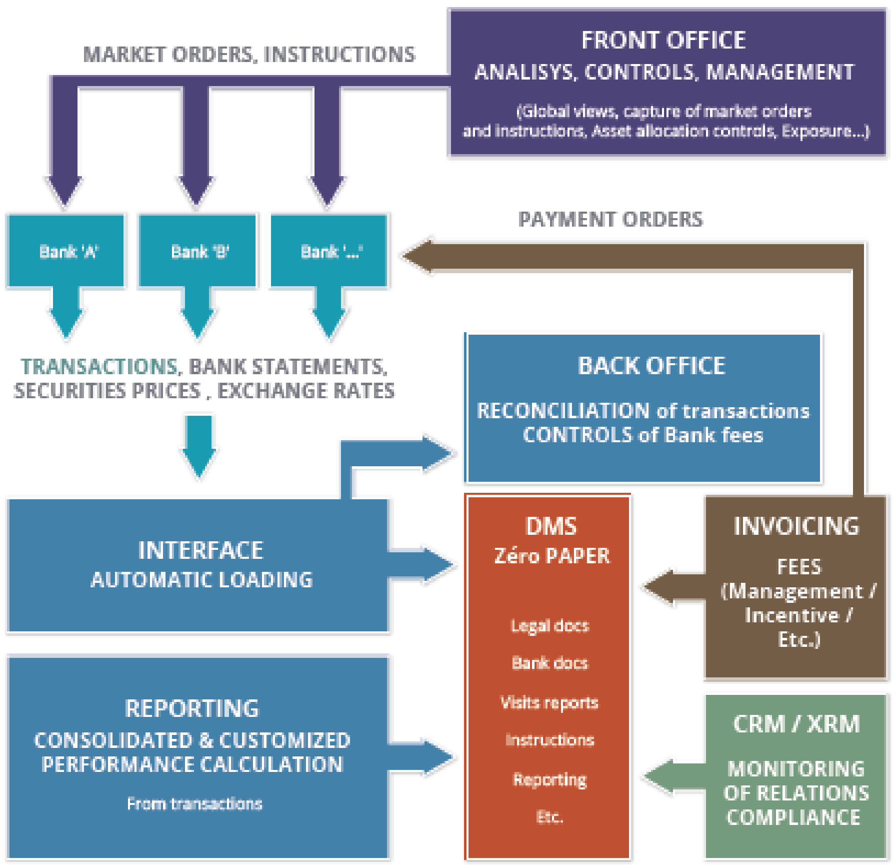
CONCEPT

Independent Asset Managers

Configuration **with** back Office



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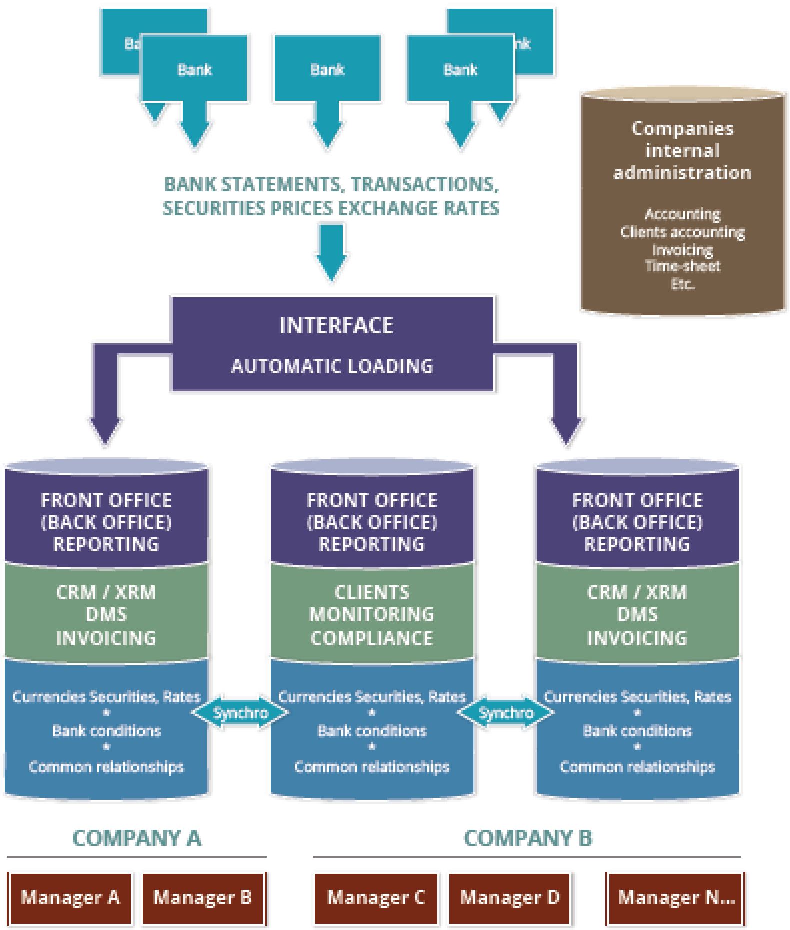
CONCEPT

Independent Asset Managers

Configuration "**Platform**"



PLAT

FORM

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Front Office

This module allows you to:
:

- View the various **client's portfolios through global views consolidated** (client, custodian, manager ...)
- Capture of **market orders**
- Capture **all types of instructions** (cash, trustees, forex, **private equity**, ...)
- Generate **customized consolidated reports** with **performance** calculation
- **Control of assets allocation strategy**

The clients' portfolios are:

⇔ Configuration without BACK OFFICE
portfolios sent by banks and imported automatically through our OPEN INTERFACE **CONCEPT** ***Processus*****, without any intervention.**

⇔ Configuration with BACK OFFICE
portfolios calculated from operations sent by banks and imported automatically through ourOPEN INTERFACE **CONCEPT** ***Processus***.

Market orders can be individual or grouped and include the information related to the title, the price terms (Market price, limit price ...), the period of validity ....

Depending on the configuration with or without back office, this entry automatically generates an outstanding transaction incorporating a pre-calculation of commissions and bank charges through the Back Office.

These outstanding transactions can be immediately taken into account in all reports and analysis included in the solution and can be specifically identified!

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Back Office / Security accounting

This module contains all the information regarding client assets. It allows in particular to:

- **Periodically evaluate client portfolios.**
- **Consolidate the various portfolios for one or more clients.**
- **Define and monitor asset allocation strategies.**
- **Control at any time the client positions depending on the investment strategy chosen by them or defined in the framework of a management mandate.**
- **Define and control the conditions agreed with the custodians**

- Hold details of all securities transaction, Foreign Exchange (Forex), and investments (term deposits, fiduciary deposits, loans), etc. ..
- Keep inventory positions for each custodian.
- Calculate the cost price for each Stock position in the currency of the title as well as in the reference currency of the clients.
- Evaluate the positions using different pricing sources.
- Bring out automatically the results on each transaction (profit & loss realised on the transaction and exchange rate).
- If necessary, generate and record automatically entries in the financial general accounting module.

It provides an extensive number of analyzes (per customer, portfolios, various risks ...) as well as detailed performance calculations.

A reporting tool allows you to define custom templates editions (valuations, performance etc.), bringing real added value to this activity.

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CRM/XRM ("*eXtended Relationship Management*")

This module enables you to manage, inquire and retain all data for the various parties involved in this activity :

- Clients
- Banks
- Beneficial owners and other stakeholders
- Various parties (Self-regulatory associations, auditors, etc.)
- Prospects
- Etc.

.

Amongst all the information maintained in this module, we would like to underline :

- Identities, addresses, contacts
- Information on mandates signed with:
  - Clients
  - Depositaries
- Checking at any time of your files with the most common "black lists" of the market
- **Management of all related documents. This management can also be integrated with an external system of electronic document management, like for example the software *THEREFORE*™ marketed by CANON. (Interface already used by several clients)**

- **Definition of customer investment profile**
- **Definition of rules of conduct (strategy, goals, information ...)**

It is also possible to define additional fields and combine them into custom pages.

+20 ans

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Time and Task Management and Monitoring of Relations

This module enables the user to manage specific or recurring tasks as well as deadlines to which they may be linked.

- The first part is related to task management. This module is integrated into the whole solution and is mainly updated by the application program. Many automatic links exist. These include :

    - Expiry date of legal documents
    - Expiry date of mandates
    - Appointments and visits to customers
    - Etc.

  The user can also define his own specific or recurring tasks. For each task he may mention subordinates, projected duration of work (planning), date of recall etc.

- The second part is a powerful **reporting tool**. It makes it possible to consult tasks
  and related deadlines according to many criteria :
    - By users, groups or for any user, with protection of access
    - By clients, mandates and/or deadlines
    - By using specific time intervals (day, week, fortnight, month, quarter, half-year or year)
    - By using customized time intervals

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Debtors invoicing / Creditors management

To cope with the different billing modes encountered in the context of this activity, billing module allows you to streamline and automate billing of:

- **Management fees**
- **Incentive fees**
- Any other type of fees (flat fees, time sheet, disbursments, etc.)

It can automatically generate invoices and directly send to the banks the payment orders.

Security / Confidentiality

CONCEPT ***Independent Asset Managers*** has been developed to offer our customers optimal data protection.

To do this, the following functions are therefore available :

- All rights may be defined by user and / or group of users with hierarchical function
- Protection of access safeguarded by module and program
- Ability to define rights such as « read, write, edit, create, delete, print, export »
- Protection to access certain functions of the program
- Ability to protect some actions by password
- Ability to filter information per user
- Restrictions on access to certain functions of the program

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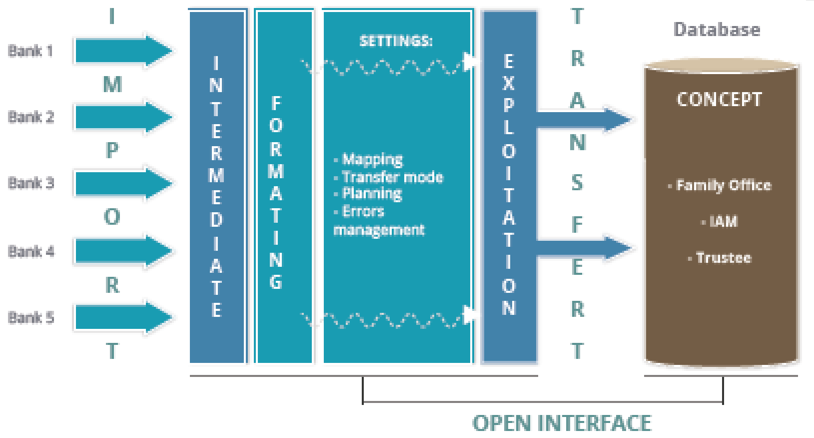
CONCEPT
Family Office



DESCRIPTION

This program is an « OPEN INTERFACE " which saves recapturing bank advices in various modules as:

- Securities accounting
- Financial accounting

This solution is reliable and efficient and therefore makes it possible to transfer safely positions and/ or transactions such as cash/securities/investments/forex/coupons, etc. **avoiding risk of error.**

CONCEPT ***Processus*** reflects once again our willingness to rationalise administrative tasks and thus improve **productivity** and quality of work.

This interface can be integrated into many of our solutions

BANKS

Processus has already been configured for many banks and we would be pleased to provide you with further information about it.
If Processus is not yet adapted to your corresponding banks, our programming service is at your disposal for developing the required links.
The design of Processus allows quick adaptation to new banking software.

IMPORT

This function allows the conversion of data from a given bank by loading it in the intermediate data base of the Open Interface, a process which can be achieved manually or automatically.

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History

Our company was incorporated in 1992 and is owned by the group founded by CORPORATE PERFORMANCE HOLDING SA – Nyon.

Its management team, professional background such as banking, fiduciary / trust management and data processing, aimed to establish group of services able to provide integrated and customized solutions to companies active in the world of finance, based in Switzerland and / or abroad.

This goal was achieved and our expertise is now recognized for our financial software as well as for our consulting and outsourcing services, through our subsidiary CORPORATE PERFORMANCE CONSULTING SA.

In order to ensure our customers a LONG TERM vision with our operating companies, a significant part of the share capital of Corporate Performance Holding SA was sold to a new entity formed in 2008 under the name PERENNITE HOLDING SA. This company is owned by a number of our employees, who through their commitment, contributed significantly to the development of our group

Products

Our solutions have been acquired for 20 years by Swiss and foreign clients installed in more than 15 countries.

Clients

Currently, they are nearly 80 customers who trust in us, among them well known banks, private banks, family offices, wealth management companies, independent asset managers, brokers, trust and fiduciary companies.

Philosophy

Our desire has always been to offer a range of financial software reproducing work processes to enable our customers to improve their productivity and control their risks, in compliance with legal requirements (AML / LBA)