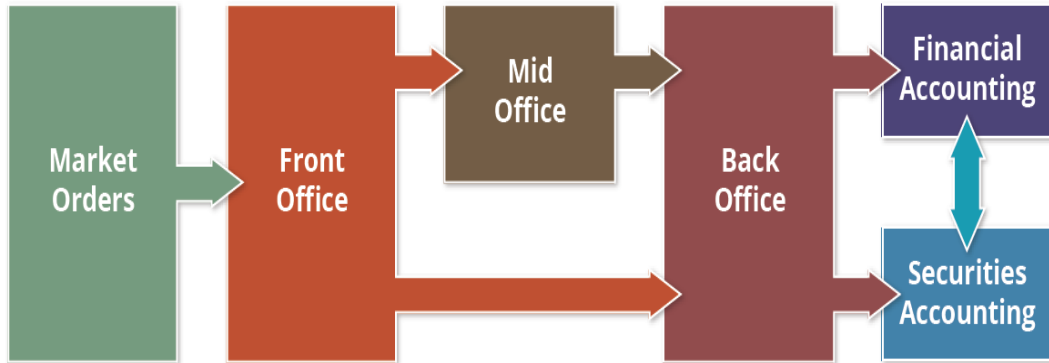


Integrated solution for the brokerage activity



Description

CONCEPT Broker covers the main fields of activity of a broker.

It includes several modules allowing a clear separation of the tasks and ensures automation of many functions enabling links to Euroclear, Cedel. etc.

- Confirmations (automatic sending of fax, telex, e-mail).
- Settlement/Delivery
- Analysis of profit and loss by date, client, trader etc.
- etc.

The integration of the system also allows a quick update. Loading of data in the various modules (Front office, Mid office, Back office, Securities accounting and Financial accounting) through only one data entry, therefore avoiding risks of errors and bringing an increase in productivity

Our software is used by many Swiss customers subjected to the LBVM or from the United Kingdom subjected to the SFA.

Front office

Main fonctions:

- Management and recording of stock exchange orders.
- Input of transactions requiring compulsory information.
- Calculation of fees, expenses, various taxes, etc.
- Online monitoring of positions (trading and investments).
- Online calculation of profits and losses.
- Trader-to-trader transfers.
- Various statistics
- Etc ...

Middle / Back Office

Main fonctions:

- Automatic transfer from the Front office.
- Checklists of errors.
- Automatic sending of fax, telex or e-mail by automatic reply.
- Automatic instructions of deliveries/settlements
- Fixed term deposits, forex, splits, conversions etc.
- Register keeping (for example in Switzerland negotiation stamp).
- Automatic charging in the financial accounts
- Etc...

With the following Control / Management reports :

- Cash flow statement by currency and banks.
- Statistics by customers/traders.
- Results by customers/counterparts/traders for a given period.
- Risk management by counterpart (unpaid transactions...)
- Etc ...

Securities accounting

Securities accounting is a module complementary to financial accounting.

It enables the user to:

- Link one or more security portfolios to specific accounts.
- Keep inventory of the positions by depositary location.
- Calculate for each one of the positions the cost price at purchase as well as in the currency in which the security has been issued as in the basic bookkeeping currency.
- Bring out automatically at the time of each transaction the result (gain or loss of the transaction and the exchange balance).
- Generate and record automatically entries in the financial accounting.
- Estimate periodically portfolios and display results which have not yet been realised, with the option of entry into the accounts, etc.

This module enables you to make estimates in different currencies as well as to carry out various analysis.

Some other options which can be added are:

- Management of fix deposit, fiduciary deposit, loans and borrowings.
- Management of FOREX (Spot, Forward, Swap).
- Management of coupons and dividends.
- Portfolio consolidation
- Performances
- Investment Policy

Financial accounting

Due to its **flexibility**, this solution allows **parameterizing of account charts** and is suited to keep the accounts of **any type of entity, whatever their jurisdiction or legal form**.

This program enables you to keep your own accounts as well as accounts of entities managed on behalf of customers.

It consists of a basic module and various options such as:

- **Multi-company**
- **Multi-currency**
- **Multi-layout**
- **Current account**
- **Interest calculation**
- **Analytical**
- **Budget**
- **Consolidation**
- **Contract management**
- **Data export**

